

Client Profile Agreement & Suitability

This form is designed to gather information to help us better serve your investment needs as well as to ensure that all FINRA/SEC regulations have been met. We may have completed part of this information for you to make the process simpler. PLEASE CORRECT ANY INACCURATE INFORMATION, COMPLETE THE FORM IN ITS ENTIRETY AND SIGN WHERE INDICATED.

Section I: Account Holder Information

1. Primary Account Holder Information

First Name	Middle Int.	Last Name	Social Security Number	
Date of Birth	Number of Dependents	Employment Status	Country of Citizenship	
Legal Address (no P.O. Boxes)		Employed	Homemaker	ID Type (Ex. Drivers License, Passport, etc.)
		Self-Employed	Student	
		Retired	Not Employed	
City	State	Zip	Occupation (former if retired)	ID Place of Issuance
Mailing Address	Same as legal address	Industry (former if retired)	ID Number	
City	State	Zip	Business Phone	ID Issue Date ID Exp Date
Home Phone	Mobile Phone	Fax Number	Email Address	

2. Secondary Account Holder Information

First Name	Middle Int.	Last Name	Social Security Number	
Date of Birth	Number of Dependents	Employment Status	Country of Citizenship	
Legal Address (no P.O. Boxes)		Employed	Homemaker	ID Type (Ex. Drivers License, Passport, etc.)
		Self-Employed	Student	
		Retired	Not Employed	
City	State	Zip	Occupation (former if retired)	ID Place of Issuance
Mailing Address	Same as legal address	Industry (former if retired)	ID Number	
City	State	Zip	Business Phone	ID Issue Date ID Exp Date
Home Phone	Mobile Phone	Fax Number	Email Address	

Are there any additional account holders for this profile? Yes No (If yes, an additional form is required.)

The trusted contact person is intended to be a resource for World in administering your accounts, protecting your assets, and responding to possible financial exploitation (refer to account packet/agreement for more details). Note: Your trusted contact person must be age 18 or older, and would not be able to conduct transactions in your account.

I decline to provide a trusted contact person at this time.

Trusted Contact First Name	Trusted Contact Last Name	Trusted Contact Email Address
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Trusted Contact Phone Number	Relationship to Account Holder (Spouse, Relative, Friend, Professional Relationship, Other)
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Section II: Investment Objective and Risk Tolerance

Select the Investment objective that most accurately reflects the goals for this client: (Choose only one)

Income with Capital Preservation. Risk Tolerance: Low.

Typical time horizon: 1-3 years. Designed as a short-term accumulation account, this is generally considered the most conservative investment objective. Emphasis is placed on general of current income with minimal risk of capital loss. Lowering the risk generally means lowering the potential income and overall return.

Income with Moderate Growth. Risk Tolerance: Moderately Low.

Typical time horizon: 4-9 Years. Emphasis is placed on generation of current income with a secondary focus on moderate capital growth.

Growth with Income. Risk Tolerance: Moderate.

Typical time horizon: 4-9 Years. Emphasis is placed on modest capital growth with some focus on generation of current income.

Growth. Risk Tolerance: Moderately High.

Typical time horizon 4-9 Years. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current income.

Aggressive Growth. Risk Tolerance: High.

Typical time horizon: More than 9 years. Emphasis is placed on aggressive growth and maximum capital appreciation. No focus on generation of income. This objective has a very high level of risk and is for investors with a longer time horizon.

Section III: Financial Information and Experience

1. Investment Information

Enter the correct amount:

Annual Income?

Net worth? (exclusive of primary residence)

Liquid net worth?*

Exact source of wealth & income?*

(*) Liquid Net Worth is exclusive of real estate; only include assets that can be liquidated within 30 days, to include any retirement accounts regardless of the applicant's age.

(**) For account holder(s), please specify how wealth & income referenced on this form were acquired (e.g., inheritance, employment salary, sale of real estate, etc.).

Knowledge and Experience by Investment Type

INVESTMENT	INVESTMENT KNOWLEDGE				INVESTMENT EXPERIENCE
Insurance/Fixed Annuities	Limited	Moderate	Extensive	None	Total Experience:
Variable Annuities	Limited	Moderate	Extensive	None	Total Experience:
Equities (Stocks/ETFs)	Limited	Moderate	Extensive	None	Total Experience:
Fixed Income/Bonds	Limited	Moderate	Extensive	None	Total Experience:
Mutual Funds	Limited	Moderate	Extensive	None	Total Experience:
Options	Limited	Moderate	Extensive	None	Total Experience:
Alternatives (Commodities, REITs/UITs, Futures)	Limited	Moderate	Extensive	None	Total Experience:

Federal income tax bracket (%): Under 15% 16%-25% 26-35% Over 35%

2. Liquidity Needs (NOTE: This section should be given careful consideration with respect to the selections made above).

Please select one:

I/We will need the majority of money in this account within the next 3 years.

I/We will not need the majority of money in this account for more than 3 years.

Section IV: Investor Status

To determine what asset types you may be qualified to invest in, check any of the following investor statuses that apply to you. If no Investor Qualifications apply to you, leave this section blank.

Accredited Investor: An accredited investor is generally (a) Any natural person whose individual net worth or joint net worth with that person's spouse, at the time of purchase, exceeds \$1,000,000, excluding the value of their primary residence*, or (b) Any natural person who had an income in excess of \$200,000 in each of the two most recent years or joint income with that person's spouse in excess of \$300,000 in each of those years and has a reasonable expectation of reaching the same income level in the current year.

Qualified Client: A qualified client is generally a natural person whose individual net worth, or joint net worth that person's spouse, at the time of purchase exceeds \$2,100,000, excluding the value of their primary residence*.

Qualified Purchaser: A qualified purchaser is generally a natural person whose individual net worth, or joint net worth that person's spouse, at the time of purchase exceeds \$5,000,000, excluding the value of their primary residence*.

Sophisticated Investor: A sophisticated investor is defined as an investor whose knowledge and experience in financial matters is sufficient to make them capable of evaluating the merits and risks of a prospective investment. For purposes of this document, a sophisticated investor also has at least 5 years of experience investing, investable assets exceeding \$50,000 and a liquid net worth exceeding \$100,000 at the time of purchase, excluding the value of their primary residence*.

*When calculating net worth, you should 1) exclude your primary residence as an asset, 2) exclude debt secured by your primary residence, up to the fair market value of your residence, 3) include the amount of any increase on the debt secured by your primary residence incurred within the last 60 days of making a purchase of the securities, unless related to the acquisition of your primary residence, and 4) include debt in excess of the fair market value of your primary residence.

Section V: Electronic Delivery

By signing this agreement, I consent to the electronic delivery of all information relating to my Account(s), and I authorize you to deliver all communications to me by the following means: (1) by email at the email address I have specified; (2) by posting the communication on a website where the communication can be read and printed; (3) by sending me an email that includes a hyperlink to a website where the information is posted and can be read and printed; and (4) by sending me a notice that directs me to a website or a place within a website where the communication is posted, from which it can be read and printed. Such delivery will be an effective delivery to me for the purpose of any Applicable Rules whether or not I access or review the communication. Although I consent to electronic delivery, World may elect to deliver communications by other means which shall not affect my consent. I will notify World of any change in my address. I may revoke my consent to electronic delivery of communications at any time and receive documents on paper. I understand World has a reasonable period to effect such a change. By agreeing to electronic delivery, I acknowledge I must have a computer with Internet access, a working email address, and the ability to download and save or print communications to retain for my records. I am responsible for obtaining and maintaining all equipment and services required for online access of the Account(s).

I elect to **opt out** of electronic delivery of communications, and request to receive all future communications via mail.

Section VI: Acknowledgement and Acceptance

By each party executing this Agreement, they acknowledge and accept their respective rights, duties, and responsibilities hereunder.

Primary Account Holder Signature

Date

Secondary Account Holder Signature

Date

Printed Name

Printed Name

Investment Advisor Representative

Signature

Date

Printed Name